



Apple Tree Fund is an initiative of Apple Tree Capital Partners B.V.

# Subscription form

**Attention! This investment falls outside AFM supervision.  
No license and no prospectus required for this activity.**





## SUBSCRIPTION PROCEDURE



Submit your  
subscription



Your subscription  
is being processed



Transfer your  
subscription amount



You are participating  
in the Fund



The information you provide in this form is required to process the subscription. Some of the questions arise from legal obligations to mitigate risks with regard to money laundering and terrorism financing. This requires, for example, to obtain insight into the Investor's background and the origin of the money to be invested in the Fund. The information will be shared with AssetCare, the Administrator of the Fund. AssetCare will process the subscription and will contact you in case additional information is required.

Please do not hesitate to contact the Administrator on +31 (0)20 244 00 92 or [info@assetcare.nl](mailto:info@assetcare.nl) should you need help completing this form and/or if you have any questions regarding it.

## INFORMATION ABOUT THE APPLE TREE FUND

The documentation below provides important information about the characteristics of the Fund, such as the investment policy and the risks involved.

### Apple Tree Fund

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→ [Information Memorandum \(IM\)](#)

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→ [Key Information Document \(KID\)](#)

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→ [Terms & Conditions](#) (see Annex 1 of the IM)

It is recommended to carefully read and save the documents beforehand, so that you may consult them at any time.



Do you want to open a webpage from this form? Make sure this form is saved beforehand to avoid that the data that you have entered is lost.



## INVESTOR DETAILS

Please enter the information in the table below.

	First Investor	Second Investor <sup>1</sup>
Company name <sup>2</sup>		
CoC-number <sup>2</sup>		
Full Name <sup>3</sup>		
Email address		
Phone number		
Address <sup>4</sup>		
Postal code <sup>4</sup>		
City <sup>4</sup>		
Country of residence <sup>4</sup>		
Nationality		
Date of birth		
Tax residency/residencies <sup>4,5</sup>		
Tax identification number <sup>4,5,6</sup>		

The details below are only required for an individual or joint subscription.

Employment type	Employed	Self-employed	Employed	Self-employed
	Retired	Student	Retired	Student
	None		None	

Profession <sup>7</sup>	
Name employer <sup>7</sup>	
City of employer <sup>7</sup>	
Sector <sup>7</sup>	

<sup>1</sup>Only required in case of joint subscription.

<sup>2</sup>Only required in case of corporate subscription.

<sup>3</sup>Your full first and last name. For a corporate subscription, fill in the details of the authorized representative.

<sup>4</sup>Enter the details of the company in case of a corporate subscription.

<sup>5</sup>Under the American Foreign Account Tax Compliance Act ("FATCA") and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information ("CRS"), it is mandatory to (I) ask about your tax residency and (II) report certain tax-relevant information to the Dutch tax authorities and in certain cases (through the Dutch tax authorities) foreign tax authorities.

<sup>6</sup>Only required when you are a tax resident outside the Netherlands and/or in the U.S.

<sup>7</sup>Enter the details of your current profession (or most recent profession if you currently do not have a profession) or leave it blank if you have no work experience yet.



## SUBSCRIPTION



The minimum subscription amount is € 100.000. This form should be received at least five Business Days prior to the desired Transaction Date.

Note: The name associated with the bank account from which the subscription amount is transferred must match the name(s) of the Investor.

Please indicate the subscription amount and from which bank account the deposit will be made.

Subscription amount	€
IBAN	
Account holder name	
Do you wish (next to the initial subscription) to make a recurring monthly subscription <sup>8</sup> (minimum € 1.000)?	
No	Yes (fill in the amount below)
Monthly amount	€

<sup>8</sup>Monthly subscriptions are made by (automatic) bank transfers to the bank account as mentioned in the deposit instructions, until the monthly subscriptions are cancelled again via the Amendment Form.

## DEPOSIT INSTRUCTIONS



Units are issued based on the Net Asset Value per Unit on the first possible Transaction Date, which is calculated as described in the IM. The subscription amount must be received at least three (3) and not more than ten (10) Business Days prior to the intended Transaction Date. This applies to both your initial and to any monthly subscriptions.

The Transaction Date is generally the first day of a month. Once the subscription is processed, you will receive a Transaction Note with an overview of your Units.

It will be confirmed by email when the subscription amount can be transferred. For reasons of convenience, the following table already contains the bank details of the Fund.

IBAN	NL42 INGB 0006 7705 43
Account holder name	Stichting Bewaarder Apple Tree Fund
Description	<i>Investor name(s)</i>
Bank Identifier Code (BIC)	INGBNL2A
Address	Eurocenter, 7 <sup>th</sup> floor Barbara Strozziilaan 310 1083 HN Amsterdam The Netherlands



## ORIGIN OF MONEY TO BE INVESTED IN THE FUND



The Money Laundering and Terrorist Financing (Prevention) Act (Wwft) requires fund managers to, amongst others, identify their Investors, report unusual transactions and have insight in the origin of funds. There is also an obligation to keep Investor's files up to date.

In the context of these regulations, we kindly ask you to complete the information below.

Do you expect to make multiple subscriptions?	Yes	No
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If so, can you explain this further (frequency and expected amounts)?

Do you expect to make interim redemptions?	Yes	No
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If so, can you explain this further (frequency and expected amounts)?

Select the sources below that explain the origin of the funds and include the percentage of each source<sup>9</sup>. The total of the percentages should be 100%.

Work	%	Savings	%
Property	%	Income from investments	%
Inheritance/gift	%	Income from entrepreneurial activities	%
Retirement benefits	%	Family wealth	%
Other:			%

<sup>9</sup>In case of monthly deposits, an Amendment Form must be completed and submitted when the origin of funds changes.

Additional information may be required regarding the (substantiation of the) origin of funds. This could include a textual explanation or documentation (such as a pay slip if salary is the source or a copy of the balance of a savings account if savings is the source). Could you indicate in advance whether you have such substantiation? In order to be able to assess your subscription as accurately and quickly as possible, you can also add a textual explanation of the origin of funds in advance below.



Please see [this webpage](#) for more information about the different sources of funds. Please note that this form should be saved before opening a webpage.



## GENERAL

The questions below are meant to give further insight into your subscription.

How did you come into contact with the Fund (Manager)?

Do you qualify as PEP (Politically Exposed Person)? Yes  No

Are you (or have you been) a U.S. Person? Yes  No   
Not anymore



Please refer to [this webpage](#) for more information on (Pseudo) UBO(s), PEPs and U.S. Persons. Please note that this form should be saved before opening a webpage.

### Ultimate Beneficial Owner(s) (UBO(s)), Pseudo UBO, directors of the entity and Financial institution<sup>10</sup>

Does the entity have one or more UBOs with an interest of 25% or more?

Yes → Please enter the details of the UBO(s) in the table below.

Name	Direct interest (%)	Indirect interest (%)	PEP	
1			Yes <input type="checkbox"/>	No <input type="checkbox"/>
2			Yes <input type="checkbox"/>	No <input type="checkbox"/>
3			Yes <input type="checkbox"/>	No <input type="checkbox"/>
4			Yes <input type="checkbox"/>	No <input type="checkbox"/>

No → Please indicate who is/are considered to be the Pseudo UBO(s).

1	Yes <input type="checkbox"/>	No <input type="checkbox"/>
2	Yes <input type="checkbox"/>	No <input type="checkbox"/>

Who is/are the director(s) of the entity?

1	Yes <input type="checkbox"/>	No <input type="checkbox"/>
2	Yes <input type="checkbox"/>	No <input type="checkbox"/>
3	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4	Yes <input type="checkbox"/>	No <input type="checkbox"/>

Does the entity qualify as a financial institution? Yes  No

<sup>10</sup>Only required in case of a corporate subscription.



## REQUIRED DOCUMENTS

Below is an overview of the documents that are at least required to process the subscription.

Individual or joint subscription	Corporate subscription
A fully completed subscription form	A fully completed subscription form
A copy of an identity document <sup>11,12</sup>	A copy of an identity document <sup>12</sup> of all directors, signatories and (Pseudo) UBO(s)
A copy of a recent bank statement to verify the IBAN, account name and address	A copy of a recent bank statement of the entity to verify the IBAN, account name, and address
<sup>11</sup> Required for both Investors in case of a joint subscription.	A copy of a recent bank statement of (Pseudo) UBO(s) to verify the address
<sup>12</sup> Passport, (Dutch) ID card or (European) driver's license. A copy of both the front and back side is required for an ID card and driver's license.	Recent extract from the Chamber of Commerce
	Ownership chart of the shareholders
	Articles of association
	Shareholder registry (up to date)



AssetCare will contact you by phone or email should additional information or documentation be required to process your subscription.

There are no subscription costs. Any costs related to the bank transfer of the subscription amount are borne by the Investor.



## SIGNATURE PAGE

By signing the Subscription form you declare that you:

- have provided information that, to the best of your knowledge, is accurate at the time of subscription and that you will notify any changes to this information by means of an Amendment Form;
- have read the IM, the KID and the Terms & Conditions;
- understand and accept the information and conditions outlined in the IM, the KID and the Terms & Conditions;
- understand and accept the risks associated with investing in the Fund;
- meet the following investor profile:
  - you are prepared to take the risk of (substantial) reduction in value of your investment in the Fund and you are able to bear the risk; and
  - your subscription in the Fund represents only a proportion of your total investments or assets and you are not dependent on income from this investment.
- subscribe to the Units in the Fund for your own account and acquire and hold the Units for your own account;
- agree that the Fund Manager will use and process the information you provide in accordance with the [privacy statement](#), including the administration of the Fund and to comply with legal obligations;
- agree that all information relating to the Apple Tree Fund will be provided either via the Website or by email;
- agree that the Fund Manager will use your data to – next to the reports as described in the IM – periodically provide information regarding the Fund, your Units in the Fund and other investment related matters; and
- are aware that the Fund is not subject to the supervision of the AFM according to Article 2:66a of the Financial Supervision Act.

This form and the required documents will be used to process your subscription and perform subsequent services. The subscription cannot be processed if the subscription form is incomplete.

Date	Date <sup>14</sup>
Place	Place <sup>14</sup>
Name first Investor	Name second Investor <sup>14</sup>
Signature <sup>13</sup>	Signature <sup>13,14</sup>

<sup>13</sup>It depends on the program that is used to complete the form and your settings whether the form can be signed digitally. If you are unable to sign the form digitally, please print out the form, place a handwritten signature, and scan or photograph the signed Form.

<sup>14</sup>Only required in case of a joint subscription.



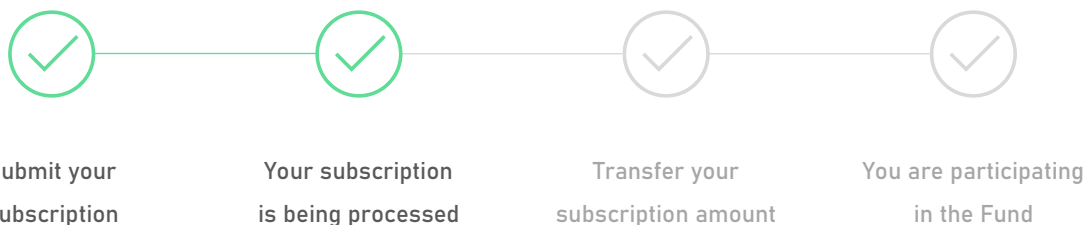
Please note that this form should be saved before opening a webpage.





## SUBMIT YOUR SUBSCRIPTION

Please send a signed form to [info@assetcare.nl](mailto:info@assetcare.nl) (with [info@appletreecp.com](mailto:info@appletreecp.com) in CC) or by regular mail to AssetCare. You will be notified by email when your subscription is received and being processed and/or should we need additional information.



Please contact AssetCare if you need any help completing the Subscription form and/or have any questions about this form:



+31 (0) 20 244 00 92

Available each working day  
from 08:00 to 18:00



[info@assetcare.nl](mailto:info@assetcare.nl)

Our aim is to answer your email  
within 24 hours



AssetCare

Eurocenter I, 7<sup>th</sup> floor  
Barbara Strozziilaan 310  
1083 HN Amsterdam

Fund Manager and Administrator will protect the privacy of the Investors in the Fund. All privacy-sensitive information that is provided is subject to the **privacy statement**. Fund Manager and Administrator make reasonable efforts to keep this information confidential and to use it only for the purposes described in the **privacy statement**. Fund Manager and Administrator work in accordance with the Dutch privacy legislation (AVG). In order to process a subscription, Fund Manager and Administrator need information such as name and email address. These details may also be used to keep you, as Investor in the Fund, informed of the developments in the Fund or to send you other information that may be of interest to you. This is optional and you are free to opt out (or opt in) to this service at any time.

Fund Manager or Administrator will not disclose Investors' information to third parties without permission, other than to the parties listed in the IM or unless otherwise required by law.

Fund Manager and Administrator reserve the right to modify the **privacy statement** in the event there are changes in its policy or business operations or in case law or case law warrant it.

Please feel free to contact the Administrator at [info@assetcare.nl](mailto:info@assetcare.nl) in case you have any questions or comments about the **privacy statement**.



Please note that this form should be saved before opening a webpage.