



Apple Tree Fund is an initiative of Apple Tree Capital Partners B.V.

Subscription form

**Attention! This investment falls outside AFM supervision.
No license and no prospectus required for this activity.**





SUBSCRIPTION PROCEDURE



Submit your
subscription



Your subscription
is being processed



Transfer your
subscription amount



You are participating
in the Fund



The information you provide in this form is required to process the subscription. Some of the questions arise from legal obligations to mitigate risks with regard to money laundering and terrorism financing. This requires, for example, to obtain insight into the Investor's background and the origin of the money to be invested in the Fund. The information will be shared with AssetCare, the Administrator of the Fund. AssetCare will process the subscription and will contact you in case additional information is required.

Please do not hesitate to contact the Administrator on **+31 (0)20 244 00 92** or info@assetcare.nl should you need help completing this form and/or if you have any questions regarding it.

INFORMATION ABOUT THE APPLE TREE FUND

The documentation below provides important information about the characteristics of the Fund, such as the investment policy and the risks involved.

Apple Tree Fund

→ [Information Memorandum \(IM\)](#)

→ [Terms & Conditions](#) (see Annex 1 of the IM)

→ [Key Information Document \(KID\)](#)

It is recommended to carefully read and save the documents beforehand, so that you may consult them at any time.



Do you want to open a webpage from this form? Make sure this form is saved beforehand to avoid that the data that you have entered is lost.

INVESTOR DETAILS



Please enter the information in the table below.

First Investor	Second Investor ¹
Company name ²	
CoC-number ²	
Full Name ³	
Email address	
Phone number	
Address ⁴	
Postal code ⁴	
City ⁴	
Country of residence ⁴	
Nationality	
Date of birth	
Place of birth	
Country of birth	
Tax residency/residencies ^{4,5,6}	
Citizen service / Tax identification number ^{4,5,6,7}	

Please fill in your Personal Number⁸ below if it differs from your Citizen Service Number (BSN) or Tax Identification Number:

The details below are only required for an individual or joint subscription.

Employment type	Employed	Self-employed	Employed	Self-employed
	Retired	Student	Retired	Student
	None		None	
Profession ⁹				
Name employer ⁹				
City of employer ⁹				
Sector ⁹				

¹ Only required in case of joint subscription.

² Only required in case of corporate subscription.

³ Your full first and last name. For a corporate subscription, fill in the details of the authorized representative.

⁴ Enter the details of the company in case of a corporate subscription.

⁵ Enter the personal number if you do not have an identification document in the Netherlands. The personal number must correspond with the country of issuance of your copy of the identification document.

⁶ Under the American Foreign Account Tax Compliance Act ("FATCA") and the OECD Common Reporting Standard for Automatic Exchange of Financial Account Information ("CRS"), it is mandatory to (I) ask about your tax residency and (II) report certain tax-relevant information to the Dutch tax authorities and in certain cases (through the Dutch tax authorities) foreign tax authorities.

⁷ Enter the tax identification number (personal number) if you are a tax resident outside the Netherlands.

⁸ The Personal Number must match the country of issuance of your proof of identity.

⁹ Enter the details of your current profession (or most recent profession if you currently do not have a profession) or leave it blank if you have no work experience yet.



SUBSCRIPTION



The minimum subscription amount is € 100.000. This form should be received at least five Business Days prior to the desired Transaction Date.

Note: The name associated with the bank account from which the subscription amount is transferred must match the name(s) of the Investor.

Please indicate the subscription amount and from which bank account the deposit will be made.

Subscription amount	€
IBAN	
Account holder name	
Do you wish (next to the initial subscription) to make a recurring monthly subscription ¹⁰ (minimum € 1.000)?	
No	Yes (fill in the amount below)
Monthly amount	€

¹⁰ Monthly subscriptions are made by (automatic) bank transfers to the bank account as mentioned in the deposit instructions, until the monthly subscriptions are cancelled again via the Amendment Form.

DEPOSIT INSTRUCTIONS



Units are issued based on the Net Asset Value per Unit on the first possible Transaction Date, which is calculated as described in the IM. The subscription amount must be received at least three (3) and not more than ten (10) Business Days prior to the intended Transaction Date. This applies to both your initial and to any monthly subscriptions.

The Transaction Date is generally the first day of a month. Once the subscription is processed, you will receive a Transaction Note with an overview of your Units.

It will be confirmed by email when the subscription amount can be transferred. For reasons of convenience, the following table already contains the bank details of the Fund.

IBAN	NL42 INGB 0006 7705 43
Account holder name	Stichting Bewaarder Apple Tree Fund
Description	<i>Investor name(s)</i>
Bank Identifier Code (BIC)	INGBNL2A
Address	Eurocenter, 7 th floor Barbara Strozziilaan 310 1083 HN Amsterdam The Netherlands



ORIGIN OF MONEY TO BE INVESTED IN THE FUND



The Money Laundering and Terrorist Financing (Prevention) Act (Wwft) requires fund managers to, amongst others, identify their Investors, report unusual transactions and have insight in the origin of funds. There is also an obligation to keep Investor's files up to date.

In the context of these regulations, we kindly ask you to complete the information below.

Do you expect to make multiple subscriptions?	Yes	No
If so, can you explain this further (frequency and expected amounts)?		
Do you expect to make interim redemptions?	Yes	No
If so, can you explain this further (frequency and expected amounts)?		

Select the sources below that explain the origin of the funds and include the percentage of each source¹¹. The total of the percentages should be 100%.

Work	%	Savings	%
Property	%	Income from investments	%
Inheritance/gift	%	Income from entrepreneurial activities	%
Retirement benefits	%	Family wealth	%
Other:			%

¹¹ In case of monthly deposits, an Amendment Form must be completed and submitted when the origin of funds changes.

Additional information may be required regarding the (substantiation of the) origin of funds. This could include a textual explanation or documentation (such as a pay slip if salary is the source or a copy of the balance of a savings account if savings is the source). Could you indicate in advance whether you have such substantiation? In order to be able to assess your subscription as accurately and quickly as possible, you can also add a textual explanation of the origin of funds in advance below.



Please see [this webpage](#) for more information about the different sources of funds. Please note that this form should be saved before opening a webpage.

GENERAL



In the context of regulations, we are required to gain further insight into your subscription.

How did you come into contact with the Fund (Manager)?

Could you explain why you want to invest in the fund, and what your goal is?

Is your subscription to the Fund through an intermediary?

Yes

No

↳ If yes, what is the name of your intermediary and who is your contact person?

↳ If yes, do you give permission to the Fund Manager to share your (personal) information and information and communication about your subscription to the Fund with the intermediary?

Yes

No

Do you qualify as PEP (Politically Exposed Person)?

Yes

No

Are you (or have you been) a U.S. Person?

Yes

No

Not anymore



Please refer to [this webpage](#) for more information on (Pseudo) UBO(s), PEPs and U.S. Persons. Please note that this form should be saved before opening a webpage.

Ultimate Beneficial Owner(s) (UBO(s)), Pseudo UBO, directors of the entity and Financial institution¹²

Does the entity have one or more UBOs with an interest of 25% or more?

Yes → Please enter the details of the UBO(s) in the table below.

Name	Direct interest (%)	Indirect interest (%)	PEP	
1			Yes	No
2			Yes	No
3			Yes	No
4			Yes	No

No → Please indicate who is/are considered to be the Pseudo UBO(s).

1	Yes	No
2	Yes	No

Who is/are the director(s) of the entity?

1	Yes	No
2	Yes	No
3	Yes	No
4	Yes	No

Does the entity qualify as a financial institution?

Yes

No

¹² Only required in case of a corporate subscription.



REQUIRED DOCUMENTS

Below is an overview of the documents that are at least required to process the subscription.

Individual or joint subscription	Corporate subscription
A fully completed and signed subscription form	A fully completed and signed subscription form
A copy of an identity document ^{13,14}	A copy of an identity document ¹⁴ of all directors, signatories and (Pseudo) UBO(s)
A copy of a recent bank statement to verify the IBAN, account name and address (not older than 3 months)	A copy of a recent bank statement of the entity to verify the IBAN, account name, and address (not older than 3 months)
¹³ Required for both Investors in case of a joint subscription.	A copy of a recent bank statement of (Pseudo) UBO(s) to verify the address (not older than 3 months)
¹⁴ A color copy (of good quality) of a Dutch or foreign passport or Dutch identity card with a visible BSN/personal number (<u>a driver's license is not accepted under any circumstances</u>). The identity document must contain the front and back of the ID card, although the back of the holder's page (the page with your passport photo) of a Dutch passport is only required if the date of issuance of the passport is later than August 30, 2021. Furthermore, adding a watermark and/or text to the document is not acceptable.	Recent extract from the Chamber of Commerce (not older than 3 months)
¹⁵ If an individual is the sole shareholder of the respective business entity, it is not necessary to include the organizational chart and the shareholders register.	Recent extract UBO register (not older than 3 months)
	Articles of association
	Ownership chart of the shareholders ¹⁵
	Shareholders register ¹⁵



AssetCare will contact you by phone or email should additional information or documentation be required to process your subscription.

There are no subscription costs. Any costs related to the bank transfer of the subscription amount are borne by the Investor.

SIGNATURE PAGE



By signing the Subscription form you declare that you:

- have provided information that, to the best of your knowledge, is accurate at the time of subscription and that you will notify any changes to this information by means of an Amendment Form;
- have read the IM, the KID and the Terms & Conditions;
- understand and accept the information and conditions outlined in the IM, the KID and the Terms & Conditions;
- understand and accept the risks associated with investing in the Fund;
- meet the following investor profile:
 - you are prepared to take the risk of (substantial) reduction in value of your investment in the Fund and you are able to bear the risk; and
 - your subscription in the Fund represents only a proportion of your total investments or assets and you are not dependent on income from this investment.
- subscribe to the Units in the Fund for your own account and acquire and hold the Units for your own account;
- agree that the Fund Manager will use and process the information you provide in accordance with the [privacy statement](#), including the administration of the Fund and to comply with legal obligations;
- agree that, in accordance with the requirements of the 'Implementation Act on Registration of Ultimate Beneficial Owners of Trusts and Similar Legal Arrangements', Fund Manager will provide data to the Chamber of Commerce for the purpose of the so-called 'UBO Register' as explained in more detail in the [Implementation Act Explanation](#);
- agree that all information relating to the Apple Tree Fund will be provided either via the Website or by email;
- agree that the Fund Manager will use your data to – next to the reports as described in the IM – periodically provide information regarding the Fund, your Units in the Fund and other investment related matters;
- agree that the Fund Manager share your (personal) information and information and communication with your intermediary, provided you have given consent on page 6; and
- are aware that the Fund is not subject to the supervision of the AFM according to Article 2:66a of the Financial Supervision Act.

This form and the required documents will be used to process your subscription and perform subsequent services. The subscription cannot be processed if the subscription form is incomplete.

Date	Date ¹⁷
Place	Place ¹⁷
Name first Investor	Name second Investor ¹⁷
Signature ¹⁶	Signature ^{16,17}

¹⁶ It depends on the program that is used to complete the form and your settings whether the form can be signed digitally. If you are unable to sign the form digitally, please print out the form, place a handwritten signature, and scan or photograph the signed Form.

¹⁷ Only required in case of a joint subscription.



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SUBMIT YOUR SUBSCRIPTION

Please send a signed form to info@assetcare.nl (with info@appletrreecp.com in CC) or by regular mail to AssetCare. You will be notified by email when your subscription is received and being processed and/or should we need additional information.



Submit your
Subscription

Your subscription
is being processed

Transfer your
subscription amount

You are participating
in the Fund

Please contact AssetCare if you need any help completing the Subscription form and/or have any questions about this form:



+31 (0) 20 244 00 92

Available each working day
from 08:00 to 18:00



info@assetcare.nl

Our aim is to answer your email
within 24 hours



AssetCare

Eurocenter I, 7th floor
Barbara Strozziilaan 310
1083 HN Amsterdam

Fund Manager and Administrator will protect the privacy of the Investors in the Fund. All privacy-sensitive information that is provided is subject to the [privacy statement](#). Fund Manager and Administrator make reasonable efforts to keep this information confidential and to use it only for the purposes described in the [privacy statement](#). Fund Manager and Administrator work in accordance with the Dutch privacy legislation (AVG). In order to process a subscription, Fund Manager and Administrator need information such as name and email address. These details may also be used to keep you, as Investor in the Fund, informed of the developments in the Fund or to send you other information that may be of interest to you. This is optional and you are free to opt out (or opt in) to this service at any time.

Fund Manager or Administrator will not disclose Investors' information to third parties without permission, other than to the parties listed in the IM or unless otherwise required by law.

Fund Manager and Administrator reserve the right to modify the [privacy statement](#) in the event there are changes in its policy or business operations or in case law or case law warrant it.

Please feel free to contact the Administrator at info@assetcare.nl in case you have any questions or comments about the [privacy statement](#).



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