



Apple Tree Fund is an initiative of Apple Tree Capital Partners B.V.

## Amendment Form

**Attention! This investment falls outside AFM supervision.  
No license and no prospectus required for this activity.**





## AMENDMENT PROCEDURE



Submit your amendment



The amendment is being processed



The amendment is confirmed by email



The information you provide in this form is required to process amendments to your subscription and will be shared with AssetCare, the Administrator of the Fund. AssetCare will handle the amendment(s) and will contact you in case additional information is required.

Please do not hesitate to contact the Administrator on **+31 (0)20 244 00 92** or **info@assetcare.nl** should you need help completing this form and/or if you have any questions about it.

## INFORMATION ABOUT APPLE TREE FUND

The documentation below provides important information about the Fund, such as the subscription and redemption procedures.

### Apple Tree Fund

---

→ [Information Memorandum \(IM\)](#)

---

→ [Terms & Conditions](#) (see Annex 1 of the IM)

---

→ [Key Information Document \(KID\)](#)

Please feel free to contact the Fund Manager or the Administrator in case you have any questions about these documents.



Do you want to open a webpage from this form? Make sure this form is saved beforehand to avoid that the data that you have entered is lost.



## INVESTOR DETAILS

Please enter the requested information in the table below.

|  |   |
|--|---|
| Name of Investor                             |   |
| What amendment would you like us to process? | <a href="#">Modify details of Investor → see section below</a><br><a href="#">Additional subscription → see page 4</a><br>(one-time or periodical)<br><a href="#">Redemption (full or partial) → see page 5</a><br><a href="#">Stop monthly subscription → see page 5</a> |

## MODIFY DETAILS OF INVESTOR

Select only the information that you like to have amended and enter both the current and the new details.

|  | Current | New |
|--|---------|-----|
| Company name   |         |     |
| Address  |         |     |
| Email address  |         |     |
| Phone number   |         |     |
| Tax residency/residencies  |         |     |
| IBAN   |         |     |
| Account holder name  |         |     |
| Employment type  |         |     |
| Profession   |         |     |
| Authorized representative  |         |     |
| Director(s)  |         |     |
| (Pseudo) UBO('s)   |         |     |
| Intermediary   |         |     |
| ↳ Please indicate in the comment field below if you want to change your choice regarding the Fund Manager sharing your (personal) data, information, and communication about your subscription to the Fund with your Intermediary. |         |     |
| PEP status   |         |     |
| Other:   |         |     |

Please provide an explanation to the amendment:



## ADDITIONAL SUBSCRIPTION (ONE-TIME OR PERIODICAL)

Please indicate the additional subscription amount and from which bank account the deposit(s) will be made.

| One-time additional subscription  | Recurring monthly subscription <sup>1</sup> |
|---|---|
| Subscription amount   | €   |
| IBAN  |   |
| Account holder name   |   |
| Could you explain why you want to subscribe for additional units in the fund and your goal in doing so? |   |

In the table below, please select the sources explaining the origin of the deposit(s)<sup>2</sup>, and include the percentage of each source. The total of the percentages should be 100%.

|                     |   |  |   |
|---------------------|---|--|---|
| Work                | % | Savings                                | % |
| Property            | % | Income from investments                | % |
| Inheritance/gift    | % | Income from entrepreneurial activities | % |
| Retirement benefits | % | Family wealth                          | % |
| Other:              |   |  | % |

<sup>1</sup> Monthly subscriptions are made by (automatic) bank transfers to the bank account as mentioned in the deposit instructions, until the monthly subscriptions are cancelled again via the Amendment Form.

<sup>2</sup> In case of monthly deposits, an Amendment Form must be completed and submitted when the origin of funds changes.



The minimum amount for a one-time additional subscription is € 2.500, while a recurring monthly subscription must be at least € 1.000. The Amendment Form must be received at least five (5) Business Days prior to the desired Transaction Day.

Please include a copy of a recent bank statement showing the IBAN, account holder name and address if you have not previously made a transfer to the Fund from this account. The account holder name must also match the name(s) of the Investor.

The deposit instructions are shown on page 6.



Units are issued based on the Net Asset Value per Unit on the first possible Transaction Date, which is calculated as described in the IM.

The Transaction Date is generally the first Day of a month. Once the subscription is processed, you will receive a Transaction Note with an overview of your Units.



## REDEMPTION (COMPLETE OR PARTIAL)



The redemption request must be received at least five (5) Business Days prior to the intended Transaction Date. The redemption is effectuated on the next Transaction Date. The IM contains more information about, for example, the determination of the redemption price and the payout.

The Transaction Date is generally the first Day of a month. Once the redemption is processed, you will receive a Transaction Note with an overview of your Units.

Please indicate the redemption amount or number of Units you want to redeem.

| Type of redemption                           | Full redemption | Partial redemption <sup>3</sup> |
|--|-----------------|---------------------------------|
| Amount in euros or number of Units           | Amount in euros | Units                           |
|  | €               | Number <sup>4</sup>             |
| IBAN   |                 |                                 |
| Account holder name                          |                 |                                 |
| What is the reason you want to redeem Units? |                 |                                 |

<sup>3</sup> A partial redemption is only permitted if the Investor subsequently holds a minimum of € 100.000 in Units. It is determined based on the data on the last Valuation Date prior to the next Transaction Date whether this is the case.

<sup>4</sup> Please specify in four (4) decimals.



Please send a recent bank statement showing the IBAN, account holder name and address if you have not previously made a transfer to the Fund from this account. The account holder name(s) must also match the name(s) of the Investor.

## STOP MONTHLY SUBSCRIPTION

Please indicate below the details of the cancellation of the monthly subscription.

---

What is the last date that the monthly subscription is or will be paid?

---

What is the reason you want to stop the monthly subscription?



## DEPOSIT INSTRUCTIONS (IN CASE OF AN ADDITIONAL SUBSCRIPTION)

The subscription amount must be received at least three (3) and not more than ten (10) Business Days prior to the intended Transaction Date. This applies to both a one-time additional subscription and to any monthly subscriptions. You will receive a confirmation by email when you the subscription amount can be transferred in case of an additional one-time subscription. For reasons of convenience, the following table already contains the bank details of the Fund.

|                            |   |
|----------------------------|---|
| IBAN                       | NL42 INGB 0006 7705 43  |
| Account holder name        | Stichting Bewaarder Apple Tree Fund   |
| Description                | <i>Investor name(s)</i>   |
| Bank Identifier Code (BIC) | INGBNL2A  |
| Address                    | Eurocenter, 7 <sup>th</sup> floor<br>Barbara Strozziilaan 310<br>1083 HN Amsterdam<br>The Netherlands |



## SIGNATURE

By signing the Amendment Form you declare that:

- the information you provided in this Amendment form is, to the best of your knowledge, accurate;
- you understand and accept the information and conditions outlined in the IM, the KID and the Terms and Conditions;
- you agree that the Fund Manager will use and process the information you provide in accordance with the [privacy statement](#), including the administration of the Fund and to comply with legal obligations;
- you agree that the Fund Manager shares your (personal) data, information, and communication with your Intermediary, provided that you have previously agreed to this in the Subscription Form or have done so on page 3 of this Amendment Form; and
- agree that, in accordance with the requirements of the 'Implementation Act on Registration of Ultimate Beneficial Owners of Trusts and Similar Legal Arrangements', Fund Manager will provide data to the Chamber of Commerce for the purpose of the so-called 'UBO Register' as explained in more detail in the [Implementation Act Explanation](#).

This form and the required documents will be used to process your amendment. The amendment cannot be processed if the amendment form is incomplete.

|                        |                                   |
|------------------------|-----------------------------------|
| Date                   | Date <sup>6</sup>                 |
| Place                  | Place <sup>6</sup>                |
| Name first Investor    | Name second Investor <sup>6</sup> |
| Signature <sup>5</sup> | Signature <sup>5,6</sup>          |

<sup>5</sup> It depends on the program that is used to complete the form and your settings whether the form can be signed digitally. If you are unable to sign the form digitally, please print out the form, place a handwritten signature, and scan or photograph the signed Form.

<sup>6</sup> Only required in case of a joint subscription.



Please note that this form should be saved before opening a webpage.



## SUBMIT YOUR AMENDMENT FORM

Please send a signed form to [info@assetcare.nl](mailto:info@assetcare.nl) (with [info@appletreecp.com](mailto:info@appletreecp.com) in CC) or by regular mail to AssetCare. You will be notified by email when your amendment is received and being processed and/or should we need additional information.



Submit your  
amendment



The amendment is  
being processed



The amendment is  
confirmed by email

Please contact AssetCare if you need any help completing the Amendment form and/or have any questions about this form:



+31 (0) 20 244 00 92

Available each working day  
from 08:00 to 18:00



[info@assetcare.nl](mailto:info@assetcare.nl)

Our aim is to answer your email  
within 24 hours



AssetCare

Eurocenter I, 7<sup>th</sup> floor  
Barbara Strozziilaan 310  
1083 HN Amsterdam

Fund Manager and Administrator will protect the privacy of the Investors in the Fund. All privacy-sensitive information that is provided is subject to the **privacy statement**. Fund Manager and Administrator make reasonable efforts to keep this information confidential and to use it only for the purposes described in the **privacy statement**. Fund Manager and Administrator work in accordance with the Dutch privacy legislation (AVG). In order to process an amendment or a subscription, Fund Manager and Administrator need some information such as name and email address. These details may also be used to keep you, as Investor in the Fund, informed of the developments in the Fund or to send you other information that may be of interest to you. This is optional and you are free to opt out (or opt in) to this service at any time.

Fund Manager or Administrator will not disclose Investors' information to third parties without permission, other than the parties listed in the IM or unless otherwise required by law.

Fund Manager and Administrator reserve the right to modify the **privacy statement** in the event there are changes in its policy or business operations or in case law or case law warrant it.

Please feel free to contact the Administrator at [info@assetcare.nl](mailto:info@assetcare.nl) in case you have any questions or comments about the **privacy statement**.



Please note that this form should be saved before opening a web page.